

823

THE SAN FRANCISCO FOUNDATION

225 Bush St., 5th Fl.

San Francisco, CA 94104-4224 (415) 733-8500

Contact: Sandra R. Hernandez, M.D., C.E.O. and Secy.

FAX: (415) 477-2783; E-mail: SRH@sff.org;

URL: <http://www.sff.org>

Purpose: Grants principally in six categories: the arts and humanities, community health, education, environment, neighborhood and community development, and social services. Technical assistance grants also made, primarily to current recipients. The foundation serves five counties of the Bay Area.

Limitation(s): Giving limited to the San Francisco Bay Area, CA, counties of Alameda, Contra Costa, Marin, San Francisco, and San Mateo. No support for religious purposes. No grants for annual campaigns, general fundraising campaigns, emergency or endowment funds, deficit financing, matching funds, or for scholarships or fellowships, except when so designated by donor.

Support area(s): In general, support for general/operating support; program development; seed money; fellowships; technical assistance; program-related investments/loans; employee matching gifts; and scholarships—to individuals.

Program area(s): The foundation has identified the following field(s) of interest:

Arts and Humanities—Funding priorities support cultural activities reflecting a wide range of disciplines on behalf of the diverse populations of the Bay Area; projects that enhance and encourage individual creativity; and organizational advancement, usually based upon explicit objectives for programmatic and organizational change. Areas of special emphasis are: 1) culturally diverse organizations; 2) programs that promote the direct participation of Bay Area residents in cultural activities, often under the auspices of festivals, workshops, parades, amateur associations, or fairs; 3) cultural programs that inspire positive development of children and youth; 4) capacity-building of elementary and secondary schools, to enhance the creative and critical reasoning skills of youth; and 5) support organizations that promote the development of audiences.

Art Awards—The James D. Phelan Art Awards were established in 1935 to recognize California-born artists in various disciplines. Since 1982, the awards have alternated between printmaking and photography in one year, and film and video the next year. Over the years, these awards have served both to introduce the careers of young artists and to affirm the talents of those with more established reputations.

Community Health—Funding priority is given to projects that inform public policies, create linked systems of care, develop strong organizations, and empower clients to take control of their own lives. Areas of special emphasis are: 1) mental health, to enable individuals with serious mental illnesses, especially the homeless, to live independently; 2) women's health, to address the needs of women and girls; 3) AIDS, to prevent the spread of HIV by focusing on vulnerable populations, especially gay youth; 4) elder services, to create community-based systems of long-term care; and 5) health reform, to ensure public participation in the design and implementation of health system reform and to increase health organizations' ability to meet the demands of changing health systems.

Community Leadership Awards—The awards honor leadership in civic and social endeavors as well as in arts, humanities, recreation, and environmental protection, and highlight innovation and leadership in problem-solving and creating community.

Education—Funding priorities include school improvement, youth development, early childhood education, and family support. Areas of special emphasis are: 1) programs that address the developmental and academic needs of the whole child, especially those from low-income and culturally and linguistically diverse families; 2) strategies that strengthen parental participation in children's development and education; 3) programs emphasizing respectful, engaging, and personalized relationships between adults, children, and youth and that promote a sense of belonging; 4) programs that address equity and access to a quality education for underserved children, and culturally responsive approaches that promote intra- and inter-cultural understanding; 5) innovative approaches that can be replicated and influence public policy; and 6)

strategies that promote inter-agency collaboration and multidisciplinary approaches.

Environment—Funding priorities include protecting and restoring ecosystems; promoting a livable, sustainable, and healthful urban environment, with emphasis on the needs of the disadvantaged; promoting multicultural participation and leadership efforts; promoting environmental literacy and career opportunities, especially for young people of color; and protecting Bay Area greenbelt and park, open space, and outdoor recreation opportunities. Areas of special emphasis are: 1) improving environmental quality for those most in need; 2) adopting and implementing sound public policy; and 3) maintaining a healthy San Francisco Bay/Delta ecosystem.

Koshland Civic Unity Awards—Awards seek to recognize the grassroots risk-takers in the Bay Area neighborhoods who are the social innovators of bold spirit who accept even the most stubborn neighborhood problems as a deeply personal challenge.

Koshland Organizational Grants—Awarded to nonprofit agencies demonstrating a sustained commitment to the needs of a community. These agencies share a concern for the well-being of residents across racial and ethnic lines and are selected by Koshland award winners.

Literary Awards—The foundation offers two literary awards that were established in the names of Joseph Henry Jackson and James D. Phelan, men known for their generous encouragement of young California writers. Each annual award is made to the author of an unpublished work-in-progress in the form of fiction (novel or short stories), nonfictional prose, or poetry. The Phelan Award may also be made for drama. The Jackson Award competition has been held since 1957 and the Phelan Award since 1935.

Murphy Fine Arts Fellowships and Cadogan Scholarships—Fellowships and scholarships are intended to assist graduate fine arts students in the Bay Area in continuing their academic work.

Neighborhood and Community Development—Project priorities focus on housing, to provide affordable housing for low- and moderate-income people; homelessness, to increase the supply of permanent housing units and supportive services; community development, to develop leadership within communities; and economic development, to improve employment, job training, and self-sufficiency for at-risk groups. Areas of special emphasis are: 1) long-term revitalization of geographic areas blighted by physical deterioration, inadequate social infrastructure, and economic disinvestment; 2) comprehensive, multidisciplinary, collaborative development activities; 3) improved lives for children and youth, the disabled, and the poor and homeless; and 4) self-help strategies to effect community change.

Philanthropy and Other Grants—Funding seeks to develop and enhance the efforts of both grantmaking and grantseeking organizations. In addition, the foundation supports some projects that do not fall within established program categories.

Social Services—Priority is given to projects that promote community education, advocate collaboration, and promote the development of partnerships that will inform public policy; develop institutional capabilities to deal with changing community and fiscal environments; provide comprehensive services to address the needs of the individual and the family; and promote values and activities that inspire participation in the civic life of the community. Areas of special emphasis are: 1) immigrants and newcomers—assistance to their adjustment to life in the Bay Area; 2) intergroup relations—enhancement and protection of civil and human rights; 3) families—support of comprehensive preventive services; 4) youth—values clarification and leadership development opportunities; and 5) legal services—intervention and advocacy for low-income communities.

Financial data (yr. ended 6/30/01):

Assets: \$741,480,884 (M)

Gifts received: \$103,276,470

Expenditures: \$95,497,769

Grants paid: \$85,110,642

Grants to individuals: \$710,000

Employee matching gifts: \$130,403 for 105 gifts

Program-related investments: \$2,578,781 for 7 program-related investments

Grants authorized: \$87,355,976 for 4,561 grants

Officers and Trustees:* F. Warren Hellman,* Chair. (Chair., Hellman and Friedman, LLC.); Leslie P. Hume,* Vice-Chair. (Board Pres., San Francisco Day School); Sandra R. Hernandez, M.D.,* C.E.O. and Secy. (Asst. Clinical

Professor, UCSF School of Medicine); Gay Plair Cobb (C.E.O., Oakland Private Industry Council); Peter E. Haas, Jr. (Pres., Red Tab Foundation); Rolland C. Lowe, M.D. (Chair., CMA Foundation); Stephanie C. MacColl (Dir., San Francisco SPCA); Ted J. Saenger (Chair., University of California-Berkeley Foundation); David J. Sanchez, Jr. (Asst. Vice-Chancellor, Academic Affairs, University of California, San Francisco); Gladys Thacher (Exec. Dir., Life Plan Center).

Staff: Diane Aranda, Prog. Exec., Health and Education; Cedric Brown, Prog. Coord., Awards and Scholarships; Juliet Ellis, Assoc. Prog. Off., Arts, Culture, and Urban Impact; Carol Lamont, Prog. Exec., Neighborhood & Community Development; Retha Robinson, Prog. Coord., Koshland Awards; Jane Rogers, Prog. Exec., Environ., Economic and Social Justice; Ron Rowell, Prog. Exec., Environ., Economic and Social Justice; Rhonnel Sotelo, Prog. Coord, West Oakland/Hewlett Initiative; Geol Weirs, Prog. Exec., Arts, Culture, and Urban Impact; Landon Williams, Prog. Coord., Faiths Initiative.

Number of staff: 38 full-time professional, 1 part-time professional, 15 full-time support, 6 part-time support; 2 shared staff (shared with Community Initiative Funds).

Background: A community foundation; established in 1948 in CA by resolution and declaration of trust.

Fund(s): Donors may contribute to the foundation through the following basic types of funds:

Designated Funds—Donors may create a fund to support a specific organization or program within or outside of the Bay Area.

Donor-Advised Funds—Donors who wish to remain personally involved in the process of grantmaking from their funds may create a donor-advised fund.

Restricted Funds—Donors may establish a fund to support a particular area of interest, for use within a specific geographic area, or for the benefit of a particular population.

Unrestricted Funds—Donors place no restrictions on how their funds are to be used, entrusting those decisions to the Board of Trustees, now and over the generations.

Policies and application guidelines: Application form required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) statement of problem project will address

Initial approach: Letter of intent (no more than 3 pages)

Copies of proposal: 1

Board meeting date(s): Monthly except Apr. and Aug.; applications are reviewed two times each year

Deadline(s): Sept. and Mar.

Final notification: 4 to 6 months

Foundation publications: Annual report, newsletter, application guidelines, program policy statement, informational brochure, grants list

GRANTS ANALYSIS

Although the foundation provided financial information for 2001, the following grants analysis reflects grants authorized in 1999. During 1999, the foundation authorized grants totaling \$50,508,196. This figure represents a 12 percent increase over giving in 1998. The following analyses and the list of sample grants reflect grants authorized in 1999.

Subject Analysis:

Subject Area Distribution of Grant Numbers and Grant Dollars Authorized in 1999

Subject area	No. of grants	Dollar value	Pct.	General range of grants
Education				
Higher education	25	\$13,776,593	27	
Elementary & secondary	55	3,273,848	6	
Other	31	1,769,134	4	
SUBTOTAL:	111	18,819,575	37	\$35,000–100,000
Other	395	14,883,841	29	
Arts & culture	81	7,549,600	15	15,000–78,000
Grants under \$10,000	663+	5,931,430	12	
Environmental protection	62	3,323,750	7	25,000–77,000
TOTAL:	1,312+	\$50,508,196	100%	

High award of the year: \$5,100,000, Haverford College, Haverford, PA.

Top subject area by dollars: Education

Largest award in field: \$5,100,000, Haverford College, Haverford, PA.

Second largest award: \$2,393,287, Stanford University, Stanford, CA.

Second largest subject area by dollars: Other (also, largest by grant numbers)

Largest award in field: \$1,053,000, Golden Gate National Parks Association, San Francisco, CA.

Second largest award: \$276,362, Mount Hermon Association, Mount Hermon, CA.

Third largest subject area by dollars: Arts & culture

Largest award in field: \$2,069,650, K Q E D, San Francisco, CA.

Second largest award: \$877,750, San Francisco Symphony, San Francisco, CA.

Largest single recipient: K Q E D, San Francisco, CA (2 awards, totaling \$2,104,650).

Recipient Type Analysis:

Analysis of Grants of \$10,000 or More Awarded in 1999*

Recipient type	Dollar value	No. of grants
Colleges & universities	\$12,703,843	37
Technical assistance centers	4,971,929	55
Human service agencies	4,580,478	145
Environmental agencies	3,278,250	58
Educational support agencies	2,996,754	33
Schools	2,696,398	42
Media organizations	2,249,650	9
Professional societies & associations	2,137,780	52
Museums/historical societies	2,104,959	22
Performing arts groups	1,789,575	20
Recreation organizations	1,421,150	13
Arts/humanities organizations	1,419,516	26
Community improvement organizations	1,172,750	33
Churches/temples	992,495	19
Graduate schools	907,240	9
Civil rights groups	899,895	28
Philanthropy organizations	844,390	15
Public/general health organizations	779,599	16
Youth development organizations	623,870	23
Hospitals/medical care facilities	523,978	13
Disease-specific health associations	465,126	16
Mental health agencies	460,650	16
International organizations	460,550	19
Federated funds	422,300	4
Animal/wildlife agencies	284,100	5
Medical research institutes	245,000	9
Public policy institutes	231,000	7
Information/public education centers	174,750	10
Government agencies	158,000	4
Volunteer bureaus	149,000	3
Research institutes	140,000	3
Public administration agencies	87,500	6
Libraries	74,800	4
Social science organizations	61,000	3
Science organizations	40,050	2
Other	25,000	1
Reform organizations	20,000	2

*Awards may support multiple recipient types, i.e., a university library, and would therefore be counted twice.

Top recipient type by dollars: Colleges & universities

Largest award in field: \$5,100,000, Haverford College, Haverford, PA.

Second largest award: \$2,393,287, Stanford University, Stanford, CA.

Second largest recipient type by dollars: Technical assistance centers

Largest award in field: \$1,229,750, University of California at Berkeley Foundation, Berkeley, CA.

Second largest award: \$1,053,000, Golden Gate National Parks Association, San Francisco, CA.

Third largest recipient type by dollars: Human service agencies (also, largest by grant numbers)

Largest award in field: \$250,000, Womens Alliance, Framingham, MA.

Second largest award: \$181,332, Self-Help for the Elderly, San Francisco, CA.

Type of Support Analysis:**Analysis of Grants of \$10,000 or More Awarded in 1999***

Support type	Dollar value	No. of grants
Program support		
Program development	\$5,921,888	197
Seed money	370,000	9
Faculty/staff development	238,000	11
Performance/production costs	65,000	3
Conferences/seminars	63,000	3
Electronic media/online services	45,000	2
Film/video/radio	45,000	2
Curriculum development	45,000	2
General support		
Management development	706,000	28
Income development	599,150	21
General/operating support	439,428	20
Research	555,592	16
Capital support		
Building/renovation	278,804	6
Land acquisition	50,000	1
Capital campaigns	40,000	1
Computer systems/equipment	39,600	3
Equipment	21,600	2
Technical aid		
Program evaluation	105,000	4
Technical assistance	92,000	5
Student aid funds		
Awards/prizes/competitions	51,400	2
Internship funds	46,460	2
Student aid	10,000	1

*Awards may support multiple support types, i.e., seed money for research, and would therefore be counted twice.

Top support type by dollars: Program support (also, largest by grant numbers)

Largest award in field: \$225,000.

Second largest award: \$181,332.

Second largest support type by dollars: General support

Largest award in field: \$100,000.

Second largest award: \$80,000.

Third largest support type by dollars: Research

Largest award in field: \$120,000.

Second largest award: \$66,000.

Multi-year pledges: 644, totaling \$44,469,766

Continuing support: 169 grants, totaling \$25,538,710

Population Group Analysis:**Analysis of Grants Over \$10,000 Designated for Special Populations***

Group	Dollar value	No. of grants
Children & youth	\$4,526,851	133
Economically disadvantaged	3,507,282	107
Women & girls	1,877,288	52
Minorities, general	1,798,270	61
Immigrants & refugees	1,297,073	29
Hispanics	805,825	23
Aging	581,082	16
Asians & Pacific Islanders	533,500	15
Homeless	519,004	18
Gays or lesbians	492,928	33
Men & boys	384,712	9
People with AIDS	380,750	13
Blacks	312,428	10
Offenders or ex-offenders	297,500	12
Disabled, general	280,250	14
Crime or abuse victims	261,450	12
Mentally disabled	255,800	8
Physically disabled	216,198	5
Native Americans	112,500	3
Deaf & hearing impaired	75,000	3
Alcohol or drug abusers	73,500	2

*Grants which support no specific population are not included; awards may support multiple populations, i.e., an award for minority youth, and would therefore be counted twice.

Top population group by dollars: Children & youth (also, largest by grant numbers)

Largest award in field: \$772,050, Bay Area Discovery Museum, Sausalito, CA.

Second largest award: \$200,000, Center for Attitudinal Healing, Tiburon, CA.

Second largest population group by dollars: Economically disadvantaged

Largest award in field: \$250,000, Womens Alliance, Framingham, MA.

Second largest award: \$184,500, San Francisco Free Clinic, San Francisco, CA.

Third largest population group by dollars: Women & girls

Largest award in field: \$250,000, Womens Alliance (See above).

Second largest award: \$120,000, Choice USA, DC; \$120,000, University of California, San Francisco, CA (Toward creation of regional initiative by AIDS Health Policy Research Center addressing interplay of HIV, STDs and unintended pregnancies on health of Bay Area residents).

Geographic Analysis:

The geographic distribution of institutional awards of \$10,000 or more is as follows. (Grants to individuals and with unknown locations are excluded. Single grants may be active on more than one continent and would therefore be double-counted.)

U.S. regional breakdown: Pacific, \$30,230,562 (524 awards); Middle Atlantic, \$6,896,260 (20 awards); East North Central, \$1,939,500 (6 awards); South Atlantic, \$1,345,200 (21 awards); Mountain, \$1,093,000 (9 awards); New England, \$1,088,906 (24 awards); West North Central, \$251,238 (1 award); East South Central, \$175,000 (3 awards); West South Central, \$63,750 (2 awards).

Dollar value of foreign/international awards: Europe, \$658,400; global programs, \$576,550; Asia, \$85,000; Latin America, \$35,000; Canada, \$25,000; developing countries, \$20,000.

GRANTS: The following is a partial list of grants authorized by the foundation in the fiscal year ended 6/30/99.

Education

Haverford College, Haverford, PA \$5,100,000

This grant to Haverford College represents aggregate totals of grants from different donor-advised funds. It is not a single grant and should not be construed as such.

University of California at Berkeley Foundation, Berkeley, CA 1,229,750

This grant to University of California at Berkeley Foundation represents aggregate totals of grants from different donor-advised funds. It is not a single grant and should not be construed as such.

Duke University, Durham, NC 506,000

National Center for International Schools, San Francisco, CA 224,184

Saint Hilary School, Tiburon, CA 100,000

For building fund.

Every Child Can Learn Foundation, San Francisco, CA 50,000

American Education Reform Council, Milwaukee, WI 35,000

Saint Paul of the Shipwreck School, San Francisco, CA 20,000

American Education Reform Foundation, Indianapolis, IN 10,000

Other

Golden Gate National Parks Association, San Francisco, CA 1,053,000

This grant to Golden Gate National Parks Association represents aggregate totals of grants from different donor-advised funds. It is not a single grant and should not be construed as such.

Red Tab Foundation, San Francisco, CA 268,500

Womens Alliance, Framingham, MA 250,000

Self-Help for the Elderly, San Francisco, CA 181,332

Toward naturalization and ancillary services to legal immigrant community of San Francisco.

World Wildlife Fund/Conservation Foundation, DC 112,500

Marin Agricultural Land Trust, Point Reyes Station, CA 104,050

823—San Francisco

Glide Memorial United Methodist Church, San Francisco, CA For capacity-building through fund development and service integration.	100,000
Community Board Program, San Francisco, CA For programs.	80,000
Memorial Sloan-Kettering Cancer Center, NYC, NY	50,500
Children Now, Oakland, CA	38,050
Jobs for California Graduates, San Francisco, CA	35,000
Stanford University, Stanford, CA To pilot system for mapping learning and development opportunities for youth in Bay Area communities, including study of implementation issues.	25,000
Arc Ecology, San Francisco, CA For base conversion project, providing support to Bay Area community groups on environmental issues, and to continue organization's role as peer reviewer in BASES Venture Grant Program.	20,000
Research America, Alexandria, VA	20,000
Achievement Rewards for College Scientists (ARCS) Foundation, San Francisco, CA	15,050
Survivors International, San Francisco, CA To develop and implement fundraising plan.	15,000
U.A. Housing, Berkeley, CA	15,000
Center for Young Womens Development, San Francisco, CA	10,000
Arts & culture	
K Q E D, San Francisco, CA This grant to KQED represents aggregate totals of grants from different donor-advised funds. It is not a single grant and should not be construed as such, \$2,069,650. Unspecified, \$35,000.	2,104,650
San Francisco Museum of Modern Art, San Francisco, CA 2 grants: \$478,081, \$10,000.	488,081
American Fund for the Tate Gallery, NYC, NY	150,000
Margaret Jenkins Dance Studio, San Francisco, CA	78,000
New Haven International Festival of Arts and Ideas, New Haven, CT	50,000
Angel Island Immigration Station Foundation, San Francisco, CA For programs.	25,000
Appalshop, Whitesburg, KY	15,000

Grants under \$10,000

San Francisco Network Ministries, San Francisco, CA	8,000
San Francisco School, San Francisco, CA	7,000
Institute of International Education, San Francisco, CA	4,000
Bancroft Library, Friends of the, Berkeley, CA	3,300
Marin City USA Project, Marin City, CA	3,000
That Man May See, San Francisco, CA	2,500
Seattle Art Museum, Seattle, WA	2,000
Youth Community Service, Palo Alto, CA	2,000
Idaho Conservation League, Boise, ID	1,500
Coyote Point Museum Association, San Mateo, CA	1,000
San Francisco League of Urban Gardeners, San Francisco, CA	350

Environmental protection

Idaho Foundation for Parks and Lands, Boise, ID	951,000
Pacific Crest Outward Bound School, Portland, OR	144,000
Spanish-Speaking Unity Council of Alameda County, Oakland, CA For Fruitvale Recreation and Open Space Initiative, with special emphasis on creation of waterfront park.	40,000
Pesticide Action Network (PAN), North America Regional Center, San Francisco, CA Toward start-up of Sustainable California Project, preserving agricultural land, habitat, and open space and advocating for pesticide use reduction and organic and sustainable agriculture.	25,000
Urban Ecology, Oakland, CA To build on strengths of Blueprint for Sustainable Bay Area by implementing recommendations in targeted cities using case studies and through building constituency of supporters for policy recommendations.	15,000
People United for a Better Oakland, Oakland, CA Toward SAFE HEALTH project efforts to reduce and eliminate environmental toxins in Oakland through education, grassroots organizing, and policy reform.	10,000
Save San Francisco Bay Association, Oakland, CA Toward policy and technical work among environmental organizations relating to CALFED process and implementation of Bay-Delta Accord.	

Source(s): 1999 AR

Employer Identification Number (EIN): 941101547